

Frontiers of the Spinning Bootstrap

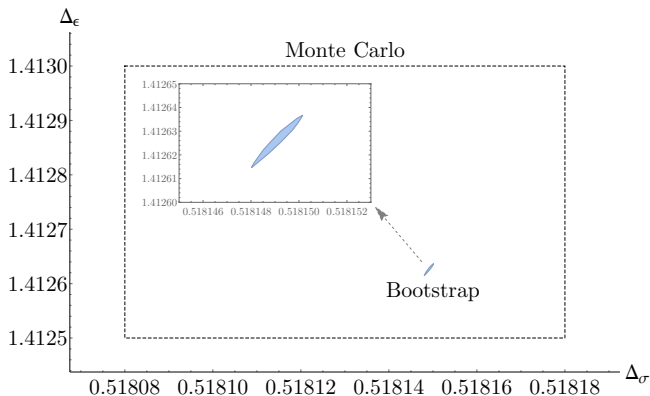
David Poland

Yale University

March 7, 2017

New Developments in CFT Above Two Dimensions, PCTS

3D Ising Island



[Kos, DP, Simmons-Duffin, Vichi '16]

- ▶ Many exciting results from studying bootstrap numerically, but mostly from scalar 4-point functions...

Spinning Bootstrap

There are a number of reasons to further probe spinning operators:

- ▶ All local CFTs contain stress-energy tensor $T^{\mu\nu}$
- ▶ Local CFTs with global symmetries contain currents J^μ
- ▶ Many interesting CFTs contain fermions ψ^α
- ▶ We'd like to understand role of (approximate) higher-spin currents
- ▶ ...

Spinning Bootstrap

There are a number of reasons to further probe spinning operators:

- ▶ All local CFTs contain stress-energy tensor $T^{\mu\nu}$
- ▶ Local CFTs with global symmetries contain currents J^μ
- ▶ Many interesting CFTs contain fermions ψ^α
- ▶ We'd like to understand role of (approximate) higher-spin currents
- ▶ ...

4-point functions of the above operators may be needed to obtain closed islands for other interesting CFTs such as 3D QED, QCD, and Chern-Simons theories with matter, 3D Gross-Neveu, 4D QCD in conformal window, ...

Challenges for Spinning Bootstrap

Bootstrapping spinning operators comes with a few complications:

- ▶ Multiple tensor structures in 3-point functions
- ▶ Multiple tensor structures in 4-point functions
- ▶ Need to compute conformal blocks for each combination of structures
- ▶ In case of J^μ or $T^{\mu\nu}$, need to impose conservation and Ward identities

I will focus on $T^{\mu\nu}$ in 3D CFTs with parity:

- ▶ 2-point functions of $T^{\mu\nu}$ fixed by conformal symmetry in terms of a unique structure, with coefficient given by the “central charge” C :

$$\langle TT \rangle = C \langle TT \rangle_B,$$

where $\langle TT \rangle_B$ is the 2-point function in the theory of a free scalar field.

I will focus on $T^{\mu\nu}$ in 3D CFTs with parity:

- ▶ 2-point functions of $T^{\mu\nu}$ fixed by conformal symmetry in terms of a unique structure, with coefficient given by the “central charge” C :

$$\langle TT \rangle = C \langle TT \rangle_B,$$

where $\langle TT \rangle_B$ is the 2-point function in the theory of a free scalar field.

- ▶ Under this normalization, $C = N$ in a theory of N free scalars or N free Majorana fermions, so it at least roughly scales with the number of degrees of freedom (however, it doesn't satisfy a C-theorem).

- ▶ 3-point functions of $T^{\mu\nu}$ contain two possible parity-preserving structures, which we can parametrize as

$$\langle TTT \rangle = n_B \langle TTT \rangle_B + n_F \langle TTT \rangle_F$$

where $\langle TTT \rangle_B$ and $\langle TTT \rangle_F$ are the 3-point functions in the theories of a free real scalar and free Majorana fermion.

- ▶ 3-point functions of $T^{\mu\nu}$ contain two possible parity-preserving structures, which we can parametrize as

$$\langle TTT \rangle = n_B \langle TTT \rangle_B + n_F \langle TTT \rangle_F$$

where $\langle TTT \rangle_B$ and $\langle TTT \rangle_F$ are the 3-point functions in the theories of a free real scalar and free Majorana fermion.

- ▶ Ward identities imply the relation

$$n_B + n_F = C$$

so there is one new coefficient, expressible as an angle:

$$\tan(\theta) = n_F/n_B$$

Conformal Collider Bounds

- ▶ Positivity of energy flux $\langle T | \mathcal{E}(\varphi) | T \rangle \geq 0$ at all angles φ implies that:

$$n_B \geq 0, n_F \geq 0$$

or equivalently

$$0 \leq \theta \leq \pi/2$$

[Hofman, Maldacena '08; Buchel, Escobedo, Myers, Paulos, Sinha, Smolkin '09]

Conformal Collider Bounds

- ▶ Positivity of energy flux $\langle T|\mathcal{E}(\varphi)|T\rangle \geq 0$ at all angles φ implies that:

$$n_B \geq 0, n_F \geq 0$$

or equivalently

$$0 \leq \theta \leq \pi/2$$

[Hofman, Maldacena '08; Buchel, Escobedo, Myers, Paulos, Sinha, Smolkin '09]

- ▶ This follows from a more general averaged null energy condition:
 $\int dy^- \langle T_{--} \rangle \geq 0$ in any state [Faulkner, Leigh, Parrikar, Wang '16]

Sum Rules from Lightcone Bootstrap

- ▶ This can also be derived using lightcone bootstrap methods applied to $\langle \phi T T \phi \rangle \sim G_+(z, \bar{z}) + G_-(z, \bar{z})$, where $G_{+/-}$ denote contributions from operators in $\phi \times T$ that are even/odd under parity.

Sum Rules from Lightcone Bootstrap

- ▶ This can also be derived using lightcone bootstrap methods applied to $\langle \phi T T \phi \rangle \sim G_+(z, \bar{z}) + G_-(z, \bar{z})$, where $G_{+/-}$ denote contributions from operators in $\phi \times T$ that are even/odd under parity.
- ▶ Analytically continuing $z \rightarrow ze^{-2\pi i}$ (taking $G_{+/-} \rightarrow \hat{G}_{+/-}$), and performing an appropriate contour integral in the vicinity of $(z, \bar{z}) = (1 + \sigma, 1 + \eta\sigma)$ leads to sum rules:

$$n_{B/F} \propto \lim_{R, \eta \rightarrow 0} \eta^{-\frac{1}{2}} \int_{-R}^R \text{Re}(G_{+/-} - \hat{G}_{+/-}) d\sigma \geq 0$$

[Hartman, Jain, Kundu '15; '16; Hofman, Li, Meltzer, DP, Rejon-Barrera '16]

(see also [Hartman, Kundu, Tajdini '16] for connection to $\mathcal{E}(\varphi)$)

Large Spin Anomalous Dimensions

- ▶ These coefficients also appear in the anomalous dimensions of large-spin “double-twist” operators $\mathcal{O}_\ell \sim \phi \partial^{\ell-2} T$

$$\gamma_\ell^+ \propto -\frac{n_B}{\ell} + \dots, \quad \gamma_\ell^- \propto -\frac{n_F}{\ell} + \dots$$

which can be interpreted in an AdS dual to mean that the gravitational binding energy of 2-particle states with large ℓ must be negative

[Li, Meltzer, DP '15; Hofman, Li, Meltzer, DP, Rejon-Barrera '16]

- ▶ These analytical results are satisfying, but we expect that much more can be learned from numerical studies of the bootstrap equations

- ▶ These analytical results are satisfying, but we expect that much more can be learned from numerical studies of the bootstrap equations
- ▶ We have now implemented the numerical bootstrap for $\langle TTTT \rangle$ in 3D CFTs with parity [Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

Stress Tensor Bootstrap

- ▶ To set up the bootstrap, first we need to classify the operators that can appear in the $T \times T$ OPE. Using 5D embedding space formalism, it is straightforward to build the structures in $\langle T T \mathcal{O}_{\pm}^{\ell} \rangle$ compatible with conformal symmetry and conservation. The counting is:

ℓ	structures
0	$1^+ + 1^-$
2	$1^+ + 1^-$
T	2^+
$2n, n \geq 2$	$2^+ + 1^-$
$2n + 1, n \geq 2$	1^-

Stress Tensor Bootstrap

- ▶ Next one must find the structures in $\langle TTTT \rangle$ compatible with conformal symmetry, permutation symmetry, and conservation

Stress Tensor Bootstrap

- ▶ Next one must find the structures in $\langle TTTT \rangle$ compatible with conformal symmetry, permutation symmetry, and conservation
- ▶ Generically, 4-point functions of four spin-2 operators have 313 parity-even structures and 312 parity-odd structures

Stress Tensor Bootstrap

- ▶ Next one must find the structures in $\langle TTTT \rangle$ compatible with conformal symmetry, permutation symmetry, and conservation
- ▶ Generically, 4-point functions of four spin-2 operators have 313 parity-even structures and 312 parity-odd structures
- ▶ Imposing parity and permutation symmetry reduces the structures to 97, which we label by a “helicity” $h_i \in \{-2, -1, 0, 1, 2\}$ at each point

$$\langle TTTT \rangle = \sum_{\substack{h_i/\mathbb{Z}_2 \\ \sum_i h_i \text{ even}}} \langle h_1 h_2 h_3 h_4 \rangle g_{[h_1 h_2 h_3 h_4]}(z, \bar{z})$$

Stress Tensor Bootstrap

- ▶ Next one must find the structures in $\langle TTTT \rangle$ compatible with conformal symmetry, permutation symmetry, and conservation
- ▶ Generically, 4-point functions of four spin-2 operators have 313 parity-even structures and 312 parity-odd structures
- ▶ Imposing parity and permutation symmetry reduces the structures to 97, which we label by a “helicity” $h_i \in \{-2, -1, 0, 1, 2\}$ at each point

$$\langle TTTT \rangle = \sum_{\substack{h_i/\mathbb{Z}_2 \\ \sum_i h_i \text{ even}}} \langle h_1 h_2 h_3 h_4 \rangle g_{[h_1 h_2 h_3 h_4]}(z, \bar{z})$$

- ▶ Looks hopeless! However, most of the resulting 97 crossing symmetry equations are redundant, thanks to the conservation condition $\partial T = 0$.

Stress Tensor Bootstrap

- ▶ The conservation condition leads to a set of linear differential equations for the $g_i(z, \bar{z})$, which can be written in the form:

$$(\mathcal{A}\partial_z + \mathcal{B}\partial_{\bar{z}} + \mathcal{C})g = 0,$$

where $\mathcal{A}, \mathcal{B}, \mathcal{C}$ are linear operators on the space of tensor structures.

Stress Tensor Bootstrap

- ▶ The conservation condition leads to a set of linear differential equations for the $g_i(z, \bar{z})$, which can be written in the form:

$$(\mathcal{A}\partial_z + \mathcal{B}\partial_{\bar{z}} + \mathcal{C})g = 0,$$

where $\mathcal{A}, \mathcal{B}, \mathcal{C}$ are linear operators on the space of tensor structures.

- ▶ Thinking of z as a "time" variable, one can integrate this equation given some boundary data. However, this data cannot determine the structures in the kernel of \mathcal{A} , which has dimension 5.

[Dymarsky '13; Kravchuk, Simmons-Duffin '16]

Stress Tensor Bootstrap

- ▶ A basis for these 5 "functional degrees of freedom" can be taken as:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

Stress Tensor Bootstrap

- ▶ A basis for these 5 "functional degrees of freedom" can be taken as:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

- ▶ We will specify our boundary conditions along the line $z = \bar{z}$, corresponding to the situation that all four operators $T(x_i)$ become collinear and there is an $O(2)$ stabilizer group.

Stress Tensor Bootstrap

- ▶ A basis for these 5 "functional degrees of freedom" can be taken as:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

- ▶ We will specify our boundary conditions along the line $z = \bar{z}$, corresponding to the situation that all four operators $T(x_i)$ become collinear and there is an $O(2)$ stabilizer group.
- ▶ Along this line, there are 22 possible structures invariant under $O(2)$:
 - ▶ 5 are the restrictions of the "functional degrees of freedom"
 - ▶ 9 are undetermined functions of $z = \bar{z}$,
 - ▶ 8 are unknown integration constants which we specify at $z = \bar{z} = 1/2$.

Stress Tensor Bootstrap

To summarize, we have an independent crossing symmetry constraint:

$$g_{[h_1 h_2 h_3 h_4]}(z, \bar{z}) = g_{[h_3 h_2 h_1 h_4]}(1 - z, 1 - \bar{z})$$

Stress Tensor Bootstrap

To summarize, we have an independent crossing symmetry constraint:

$$g_{[h_1 h_2 h_3 h_4]}(z, \bar{z}) = g_{[h_3 h_2 h_1 h_4]}(1 - z, 1 - \bar{z})$$

for 5 two-variable functions:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

Stress Tensor Bootstrap

To summarize, we have an independent crossing symmetry constraint:

$$g_{[h_1 h_2 h_3 h_4]}(z, \bar{z}) = g_{[h_3 h_2 h_1 h_4]}(1 - z, 1 - \bar{z})$$

for 5 two-variable functions:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

9 one-variable functions:

$$\begin{aligned} &g_{[0000]}(z), g_{[0101]}(z), g_{[0202]}(z), \\ &g_{[0112]}(z), g_{[1102]}(z), g_{[0011]}(z), \\ &g_{[1001]}(z), g_{[00-11]}(z), g_{[-1001]}(z) \end{aligned}$$

Stress Tensor Bootstrap

To summarize, we have an independent crossing symmetry constraint:

$$g_{[h_1 h_2 h_3 h_4]}(z, \bar{z}) = g_{[h_3 h_2 h_1 h_4]}(1-z, 1-\bar{z})$$

for 5 two-variable functions:

$$g_{[2222]}(z, \bar{z}), g_{[1111]}(z, \bar{z}), g_{[1212]}(z, \bar{z}), g_{[1122]}(z, \bar{z}), g_{[2112]}(z, \bar{z})$$

9 one-variable functions:

$$\begin{aligned} &g_{[0000]}(z), g_{[0101]}(z), g_{[0202]}(z), \\ &g_{[0112]}(z), g_{[1102]}(z), g_{[0011]}(z), \\ &g_{[1001]}(z), g_{[00-11]}(z), g_{[-1001]}(z) \end{aligned}$$

and 8 integration constants:

$$\begin{aligned} &g_{[0022]}(1/2), g_{[2002]}(1/2), g_{[01-12]}(1/2), g_{[-1102]}(1/2), \\ &g_{[0-112]}(1/2), g_{[1-102]}(1/2), g_{[1-1-11]}(1/2), g_{[-1-111]}(1/2) \end{aligned}$$

Stress Tensor Bootstrap

- ▶ To calculate the conformal blocks, we express $\langle TT\mathcal{O}\rangle_a = D_a\langle\phi\phi\mathcal{O}\rangle$, which lets us relate $\int\langle TT\mathcal{O}\rangle_a\langle\tilde{\mathcal{O}}TT\rangle_b$ to scalar blocks $\int\langle\phi\phi\mathcal{O}\rangle\langle\tilde{\mathcal{O}}\phi\phi\rangle$

Stress Tensor Bootstrap

- ▶ To calculate the conformal blocks, we express $\langle TT\mathcal{O}\rangle_a = D_a\langle\phi\phi\mathcal{O}\rangle$, which lets us relate $\int\langle TT\mathcal{O}\rangle_a\langle\tilde{\mathcal{O}}TT\rangle_b$ to scalar blocks $\int\langle\phi\phi\mathcal{O}\rangle\langle\tilde{\mathcal{O}}\phi\phi\rangle$
- ▶ We search for functionals $\vec{\alpha}$ that imply bounds on C , given a particular value of $\theta = \tan^{-1}(n_F/n_B)$ and other gaps in the spectrum.

Stress Tensor Bootstrap

- ▶ To calculate the conformal blocks, we express $\langle T\mathcal{O}\rangle_a = D_a\langle\phi\phi\mathcal{O}\rangle$, which lets us relate $\int\langle T\mathcal{O}\rangle_a\langle\tilde{\mathcal{O}}TT\rangle_b$ to scalar blocks $\int\langle\phi\phi\mathcal{O}\rangle\langle\tilde{\mathcal{O}}\phi\phi\rangle$
- ▶ We search for functionals $\vec{\alpha}$ that imply bounds on C , given a particular value of $\theta = \tan^{-1}(n_F/n_B)$ and other gaps in the spectrum.
- ▶ E.g. writing the crossing relations as:

$$-\vec{\alpha} \cdot \vec{F}_1 = \frac{1}{C} \vec{\alpha} \cdot \text{Tr} \left[f_{2 \times 2}(\theta) \vec{F}_{TTT} \right] + \vec{\alpha} \cdot \sum_{\mathcal{O}} (\dots)$$

and imposing $-\vec{\alpha} \cdot \vec{F}_1 = 1$ and $\vec{\alpha} \cdot \sum_{\mathcal{O}} (\dots) \geq 0$, we can obtain a θ -dependent lower bound:

$$C \geq \vec{\alpha} \cdot \text{Tr} \left[f_{2 \times 2}(\theta) \vec{F}_{TTT} \right]$$

Stress Tensor Bootstrap

- ▶ As usual in the numerical bootstrap, we take

$$\vec{\alpha} \sim \sum_{m+n \leq \Lambda} \vec{a}_{mn} \partial_z^m \partial_{\bar{z}}^n \Big|_{1/2, 1/2}$$

Stress Tensor Bootstrap

- ▶ As usual in the numerical bootstrap, we take

$$\vec{\alpha} \sim \sum_{m+n \leq \Lambda} \vec{a}_{mn} \partial_z^m \partial_{\bar{z}}^n \Big|_{1/2, 1/2}$$

- ▶ We search for \vec{a}_{mn} numerically using semidefinite programming methods implemented in SDPB [Simmons-Duffin '15]

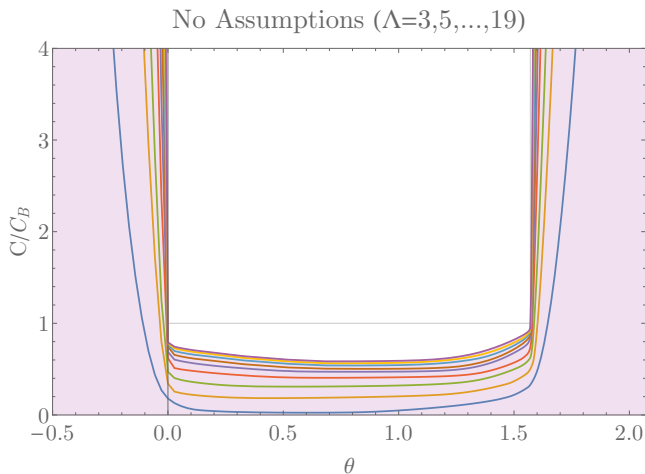
Stress Tensor Bootstrap

- ▶ As usual in the numerical bootstrap, we take

$$\vec{\alpha} \sim \sum_{m+n \leq \Lambda} \vec{a}_{mn} \partial_z^m \partial_{\bar{z}}^n \Big|_{1/2, 1/2}$$

- ▶ We search for \vec{a}_{mn} numerically using semidefinite programming methods implemented in SDPB [Simmons-Duffin '15]
- ▶ Computations run on HPC clusters at Yale, IAS, Berkeley. Typical computation time is ~ 20 hours/point at $\Lambda = 19$.

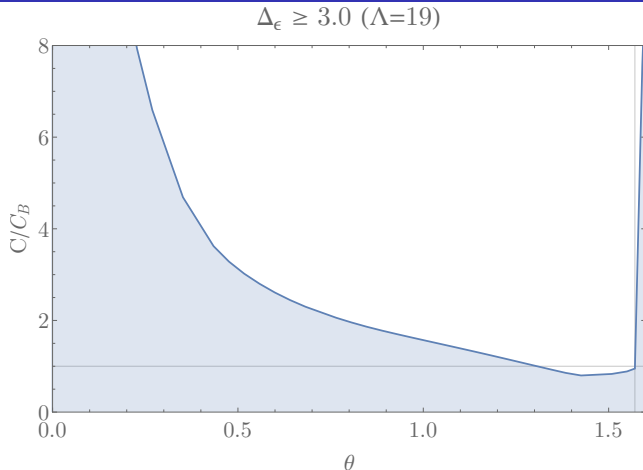
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Lower bound on C reproduces conformal collider bound $0 \leq \theta \leq \pi/2$

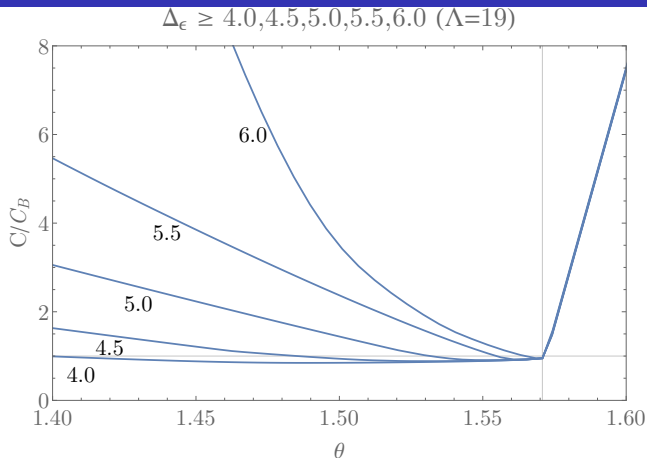
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Increasing scalar gap to $\Delta_\epsilon \geq 3$ forces $1.3 \lesssim \theta \lesssim \pi/2$ if $C/C_B \sim 1$
- ▶ Note: free scalar has gap $\Delta_\epsilon = 1$ while free fermion has gap $\Delta_\epsilon = 6$

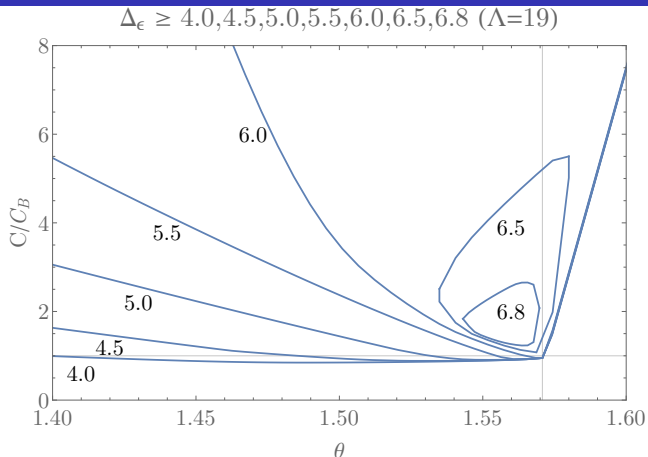
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Increasing gap assumption to $\Delta_\epsilon \geq 6$ allows only $\theta = \pi/2$ at $C/C_B = 1$
- ▶ Note: Large N theories also may have a gap of $\Delta_\epsilon = 6 + \mathcal{O}(1/N)$, where $\epsilon \simeq T_{\mu\nu}T^{\mu\nu}$ is a double-trace operator

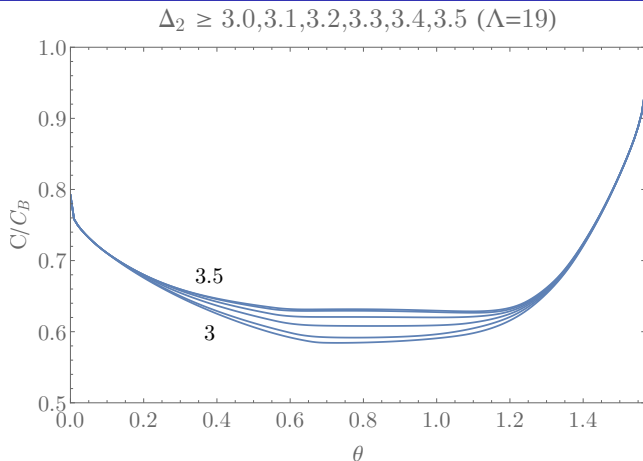
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Increasing our gap assumption somewhat above 6 allows us to obtain upper bounds in addition to lower bounds. The resulting closed regions force $\theta \sim \pi/2$ and $C/C_B \sim \mathcal{O}(1)$.

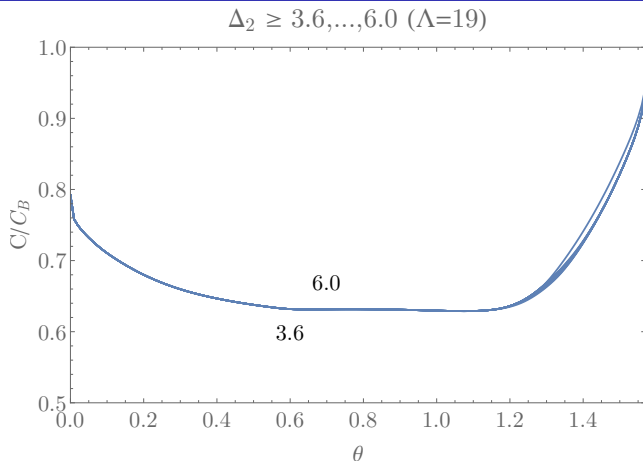
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Here we consider a gap in the parity-even spin-2 spectrum between the stress tensor and the next operator of dimension Δ_2 .

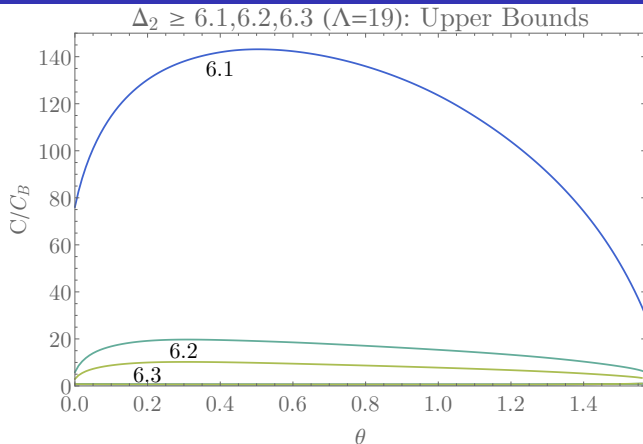
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Here we consider a gap in the parity-even spin-2 spectrum between the stress tensor and the next operator of dimension Δ_2 .

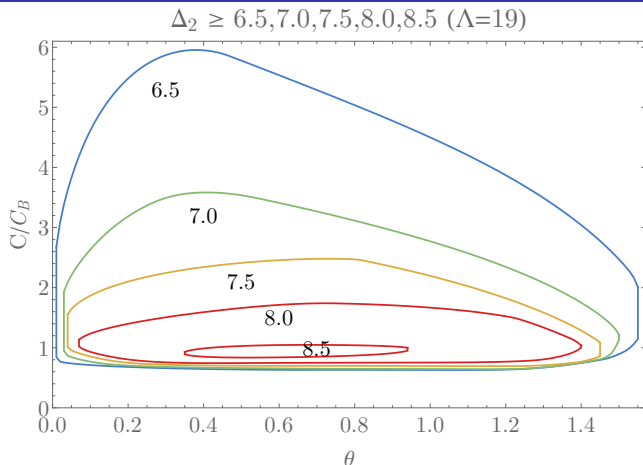
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Once the gap is above 6, we can again obtain upper bounds. This is consistent with our expectation that large N theories should have spin 2 double-trace operators $T_{\mu\rho}T_{\nu}^{\rho}$ of dimension $\Delta_2 = 6 + \mathcal{O}(1/N)$.

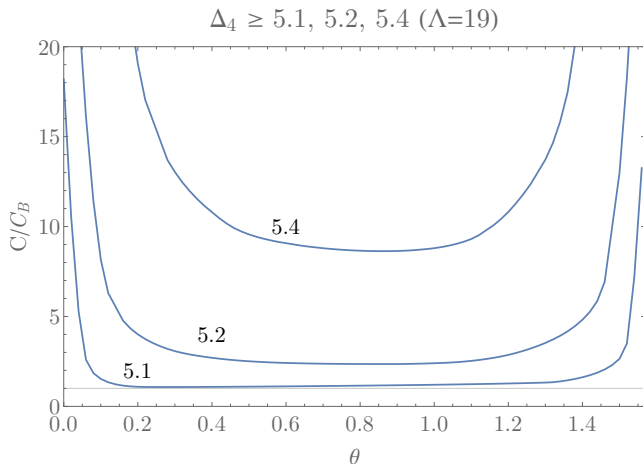
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Increasing the gap further, we find tightly-constrained closed regions and a universal upper bound around $\Delta_2 \lesssim 8.5$

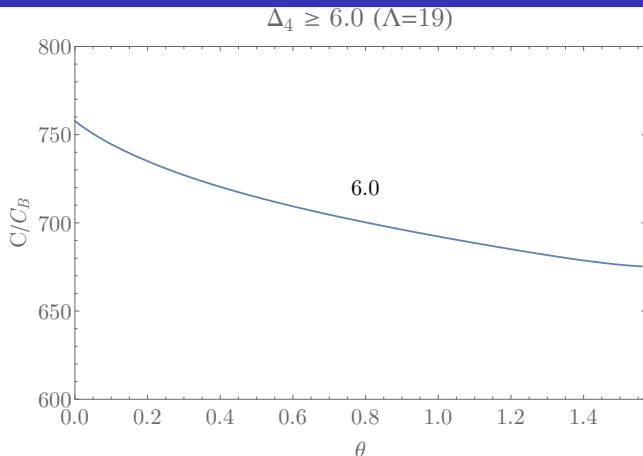
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- Spin 4 gaps quickly force C to be large and narrows the window for θ .

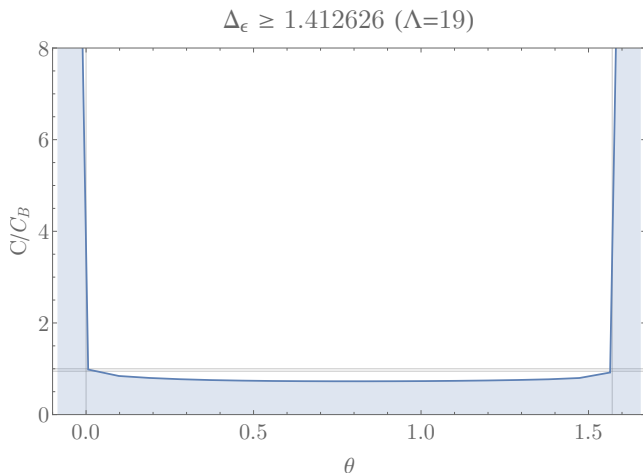
Stress Tensor Bootstrap



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ At $\Delta_4 \geq 6$, we find $C/C_B \gtrsim \mathcal{O}(700)$. Likely a hard bound $\Delta_4 \leq 6$.
- ▶ Consistent with Nachtmann theorem expectation that leading twist trajectory is increasing, concave down, and asymptotes to $\tau_{T\partial^\ell T} = 2$.

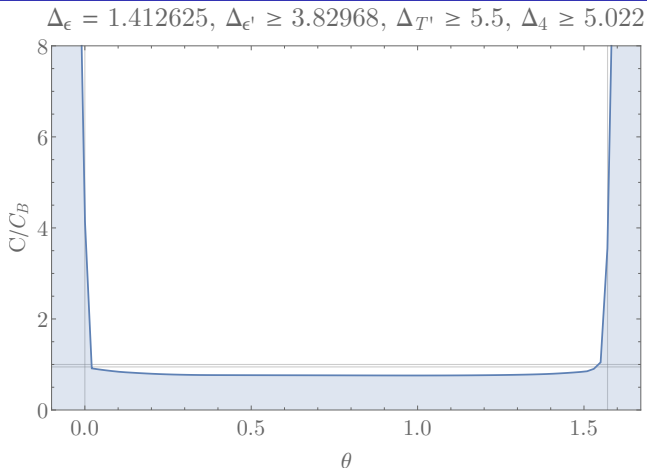
Can we learn about θ in 3d Ising?



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Adding scalar gap in 3d Ising model doesn't significantly affect bound

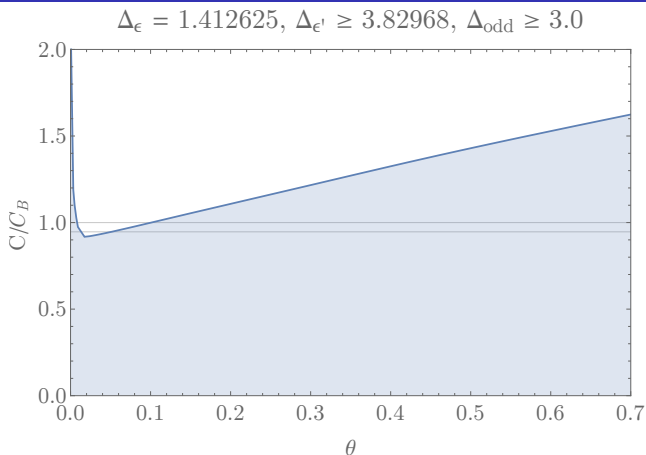
Can we learn about θ in 3d Ising?



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Adding other parity-even gaps known in 3d Ising model does not seem to help too much

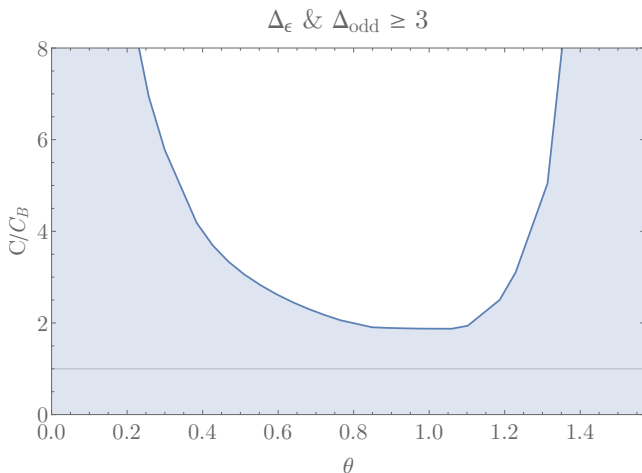
Can we learn about θ in 3d Ising?



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ However, preliminary results show that additionally imposing a parity-odd scalar gap is very constraining and forces $\theta_{\text{Ising}} \sim .01 - .04!$

Dead-end CFTs?



[Dymarsky, Kos, Kravchuk, DP, Simmons-Duffin, to appear]

- ▶ Can also start constraining space of CFTs with no relevant operators

Questions for the Future

- ▶ Can we determine precisely θ_{Ising} and $\theta(N)$ in $O(N)$ vector models?
- ▶ What other assumptions force $C \gg 1$? How does C scale as $\Delta_4 \rightarrow 6$?
- ▶ How does window for θ shrink as we go to gravity regime?
- ▶ Can we find any evidence for dead-end CFTs?
- ▶ What happens when we combine with $\langle TT\sigma\sigma \rangle$ and $\langle \sigma\sigma\sigma\sigma \rangle$?
- ▶ What happens when we allow parity-violation? Can we find e.g. Chern-Simons matter theories?